



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/683,166	11/28/2001	Manabu Kodate	JP920000338

CONFIRMATION NO. 5963

00877  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

## FORMALITIES LETTER



\*OC000000007211103\*

Date Mailed: 12/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/04/2002 RHARIS1 00000002 09683166  
01 FC:105 130.00 CH02/04/2002 RHARIS1 00000002 09683166  
01 FC:105 130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M Kodate et al.

Serial No.: 09/683,166

Filed: November 28, 2001

For: IMAGE DISPLAY DEVICE  
AND METHOD THEREOF

Date: January 15, 2002

Group Art Unit: 2672

Examiner:

Docket No.: JP920000338US1

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
(914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Seetor* *Carrey* *#3*

In re application of: M. Kodate et al.

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Group Art Unit: 2672

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Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Being filed herewith are copies of the following:

- A) Notice to File Missing Parts of Non-Provisional Application
- B) Transmittal Letter
- C) Deposit Account Authorization Form
- D) Declaration and Power of Attorney
- E) Recordation Form Cover Sheet
- F) Assignment to IBM
- G) Submission of Priority Document
- H) Certified Japan Priority Application No. 2000373599
- I) Information Disclosure Statement Transmittal Letter
- J) PTO-1449 Form With 7 References Attached
- K) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,



Derek S. Jennings - Date January 15, 2002  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
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#3

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Washington, DC 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated December 19, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41, 473  
Tel. No.: (914) 945-2144

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application  
Transmittal Letter  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment to IBM  
Submission of Priority Document  
Certified Japan Priority Application No. 2000-373599  
Information Disclosure Statement Transmittal Letter  
PTO-1449 Form with 7 references  
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on January 15, 2002

Maureen Gander

(Type or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)